#### SUBCHAPTER D—SOCIOECONOMIC PROGRAMS

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AUTHORITY: 40 U.S.C. 486(c); 22 U.S.C. 2658. SOURCE: 53 FR 26170, July 11, 1988, unless otherwise noted.

#### Subpart 619.2—Policies

#### 619.201 General policy.

- (a) The Operations Director, Office of Small and Disadvantaged Business Utilization (A/SDBU), is responsible for performing all functions and duties prescribed in FAR 19.201 (c) and (d).
- (b) In addition to the requirements of FAR 19.201(b), each head of the contracting activity (see 601.603-70), or designee, is responsible for establishing in coordination with the A/SDBU Operations Director annual goals for the DOS small and disadvantaged business program.
- (c) The Assistant Secretary of State for Administration is the agency head for the purposes of FAR 19.201(c).
- (d) Pursuant to FAR 19.201(d), each Small and Disadvantaged Business Utilization Specialist (SDBUS) is responsible for—
- (1) Maintaining a program to locate capable small business, small disadvantaged business, and women-owned business sources to fulfill DOS acquisition requirements:
- (2) Coordinating inquiries and requests for advice from small business, small disadvantaged business, and women-owned business sources on DOS contracting and subcontracting opportunities and other acquisition matters;
- (3) Advising contracting activities on new or revised small business, small disadvantaged business, or womenowned business policies, regulations, procedures, and other related information;
- (4) Assuring that small business, small disadvantaged business and women-owned business concerns are provided adequate specifications or drawings by initiating actions, in writing, with appropriate technical and contracting personnel to ensure that all necessary specifications or drawings for current and future acquisitions, as appropriate, are available;

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- (5) Reviewing all proposed acquisitions in excess of the simplified aquisition limitation to assure that small business, small disadvantaged business, and women-owned business sources will be afforded an equitable opportunity to compete and, as appropriate, initiating recommendations for small business or small disadvantaged business set-asides. This includes proposed contract modifications for new or additional requirements which do not fall within the original scope of the contract and which exceed the simplified acquisition threshold. This does not include the exercising of contract options;
- (6) Assuring that contract financing available under existing regulations is offered when appropriate and that requests by small business concerns for such financing are not treated as a handicap in the award of contracts;
- (7) Providing assistance to the contracting officer in making determinations concerning responsibility of prospective contractors whenever small business concerns are involved;
- (8) Participating in the evaluation of a prime contractor's small business and small disadvantaged business subcontracting plans;
- (9) Assuring that the participation of small business, small disadvantaged business, and women-owned business concerns is accurately reported;
- (10) Attending, as appropriate, debriefings to unsuccessful small business and small disadvantaged business concerns to assist those firms in understanding requirements for responsiveness and responsibility so that the firm may be able to qualify for future awards:
- (11) Making available to SBA copies of solicitations when so requested;
- (12) When a bid or offer from a small business, small disadvantaged business, or women-owned business has been rejected for nonresponsiveness or nonresponsibility, upon request, aid, counsel, and assist that firm in understanding requirements for responsiveness and responsibility so that the firm may be able to qualify for future awards;
- (13) Participating in Government-industry conferences to assist small business, small disadvantaged business and women-owned business concerns, in-

- cluding Business Opportunity/Federal Acquisition Conferences, Minority Business Enterprises Acquisition Seminars and Business Opportunity Committee meetings;
- (14) Maintaining a list of supplies and services that have been placed as repetitive small business set-asides;
- (15) Participating in the development, implementation, and review of automated source systems to assure that the interests of small business, small disadvantaged business, and women-owned business concerns are fully considered;
- (16) Advising potential sources how they can obtain information about competitive acquisitions;
- (17) Providing small business, small disadvantaged business, and womenowned business sources information regarding assistance available from Federal agencies such as the Small Business Administration, Minority Business Development Agency, Bureau of Indian Affairs, Economic Development Administration, National Science Foundation, Department of Labor and others, including State agencies and trade associations; and
- (18) Participating in interagency programs relating to small business, small disadvantaged business, and labor surplus area matters as authorized by the A/SDBU Operations Director.

[53 FR 26170, July 11, 1988, as amended at 59 FR 66759, Dec. 28, 1994; 60 FR 39663, Aug. 3, 1995]

# Subpart 619.4—Cooperation with the Small Business Administration

# 619.402 Small Business Administration procurement center representatives.

#### 619.402-70 DOS designee.

Where the FAR requires action by a Small Business Administration procurement center representative, but one has not been assigned to the DOS contracting activity, the A/SDBU Operations Director shall perform the action so required.

#### Subpart 619.5—Set-Asides for Small Business

#### 619.501 General.

(c) Contracting officers shall use Department of State Form DS-1910, Small Business/Labor Surplus Area Review—Actions Above the Simplified Acquisition Threshold, to document set-aside decisions.

 $[59\ FR\ 66759,\ Dec.\ 28,\ 1994,\ as\ amended\ at\ 60\ FR\ 39663,\ Aug.\ 3,\ 1995]$ 

## 619.505 Rejecting set-aside recommendations.

The Procurement Executive is the agency head for the purposes of FAR 19.505.

### 619.506 Withdrawing or modifying set asides.

(b) The Procurement Executive shall resolve disagreements between the A/SDBU Operations Director and the contracting officer.

[59 FR 66759, Dec. 28, 1994]

# Subpart 619.6—Certificates of Competency and Determinations of Eligibility

#### 619.602 Procedures.

#### 619.602-1 Referral.

The contracting officer shall transmit to the A/SDBU Operations Director concurrently with the submission to the appropriate SBA Regional Office, a copy of the documentation supporting the determination that a small business concern is not responsible, as required by FAR 19.602–1(a).

Subpart 619.7—Subcontracting with Small Business and Small Disadvantaged Business Concerns

619.705 Responsibilities of the contracting officer under the sub-contracting assistance program.

## 619.705-1 General support of the program.

It is the Department's policy to incorporate its current fiscal year goals as negotiated with the SBA into all pertinent Department solicitations, in addition to the standard subcontract clauses. Incorporation of the goals does not require that large business prime contractors must subcontract, but does require that to the extent they plan to subcontract, specific goals be established for doing business with small, small disadvantaged, and womenowned firms. Where funds are available, an incentive clause such as that found in FAR 52.219–10, Incentive Subcontracting Program for Small and Small Disadvantaged Business Concerns, is encouraged.

[59 FR 66760, Dec. 28, 1994]

#### 619.705-3 Preparing the solicitation.

Whenever the clause at FAR 52.219-9, Small Business and Small Disadvantaged Business Subcontracting Program, is used in a solicitation for a negotiated acquisition, a notification also must be included in the solicitation. This notification shall advise prospective offerors that subcontracting plans may be requested from all concerns determined to be in the competitive range. To further promote the use of small, disadvantaged, and womenowned firms by large prime contractors, contracting officers are encouraged to consider the adequacy of the subcontracting plans, and/or past performance in achieving negotiated subcontract goals, as part of the overall evaluation of the technical proposals.

 $[53\ FR\ 26170,\ July\ 11,\ 1988,\ as\ amended\ at\ 59\ FR\ 66760,\ Dec.\ 28,\ 1994]$ 

## 619.705-4 Reviewing the subcontracting plan.

A/SDBU shall review subcontracting plans to determine if small and small disadvantaged businesses are afforded the maximum practicable opportunity to participate as subcontractors. A/SDBU shall recommend to the contracting officer changes needed to subcontracting plans found to be deficient.

## 619.705-6 Postaward responsibilities of contracting officer.

### 619.705-6-70 Reporting responsibilities.

(a) The contracting officer shall forward to the A/SDBU Operations Director a copy of each subcontracting plan that was incorporated into a contract